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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE . New Paperworks ction of information unless it displays a valid OMB control number. duction Act of 1995, no persons are required to respond t Application Number 10/500.736 Filing Date TRANSMITTAL July 1, 2004 First Named Inventor **FORM** Yoshifumi Kachi Art Unit 3742 **Examiner Name** Sang Yeop Paik (to be used for all correspondence after initial filing) Attorney Docket Number 39.025-AG Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53

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Reg. No.

42,701

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Signature

Date

Printed name

Judge Patent Firm

James W. Judge

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

App. No.

10/500,736

Confirmation No. 6690

**Applicant** 

Yoshifumi Kachi, et al.

Filed

July 1, 2004

T.C./A.U.

3742

Examiner

Sang Yeop Paik

Docket No.

39.025-AG

Customer No.

29453

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **INFORMATION DISCLOSURE STATEMENT**

Sir:

This constitutes an Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98. The attached documents listed on the accompanying Form PTO/SB/08 are hereby brought to the Examiner's attention in the patent application identified above.

#### **REMARKS**

Both of the presently submitted references, i.e., Cite Nos. F1 and F2 as listed on Form PTO/SB/08, are not in English. These references were cited in a communication from the Japanese Patent Office in a counterpart Japanese application.

# Concise Explanation of Relevance/Copy of Translation Pursuant to 37 C.F.R. § 1.98(a)(3)

As a concise explanation of the relevance of the presently submitted Japanese-language references, an English translation of the Japanese Patent Office communication in its entirety is submitted herewith. The JPO communication was in Japanese Pat. App. No. 2002-309386, the foreign patent application on the basis of which priority is claimed in the present application. (A copy of the Japanese Patent Office communication in its entirety follows the English translation.)

Furthermore, English abstracts of Cite Nos. F1 and F2 are available on the Japanese Patent Office website through Patent Abstracts of Japan (PAJ), and a copy of the corresponding abstract is attached to the back of each reference.

# STATEMENT AS SPECIFIED IN 37 C.F.R. § 1.97(e)

The undersigned hereby states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this statement.

The Examiner is respectfully requested to consider these references, and to indicate so on the accompanying Form PTO/SB/08 and make the document of record in the present application.

Respectfully submitted,

Date: Lac. 20

James W) Judge

Registration No. 42,701

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Substitute for form 1449/PTO

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/500,736		
Filing Date	July 1, 2004		
First Named Inventor	Yoshifumi Kachi		
Art Unit	3742		
Examiner Name	Sang Yeop Paik		
Attorney Docket Number	39.025-AG		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or Pages, Columns, Lines		
Initials* No.	Cite No. <sup>1</sup>	Number-Kind Code <sup>2 (if known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
<u> </u>			2. 25 222			<del> </del>
	F1	JP-2001-118664-A	04-27-2001	lbiden		1
	F2	JP-2001-274103-A	10-05-2001	Sumitomo Electric		~

Examiner	Date	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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